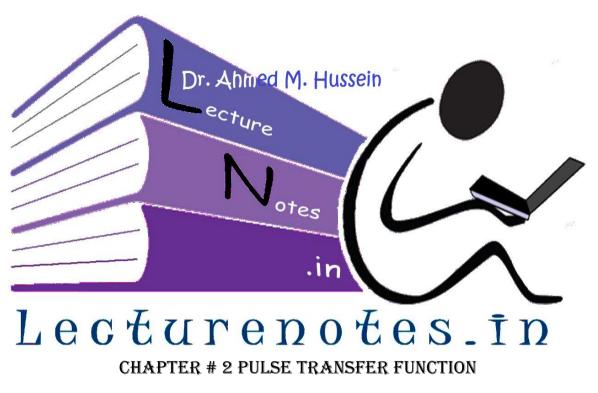


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After completing this chapter, the students will be able to:

- Obtain the open-loop transfer function for digital control systems,
- Obtain the closed-loop transfer function for digital control systems,

# 1. Pulse Transfer Function for Open-Loop Systems

In continuous control systems, which represented in S-domain as shown in Fig. 1, the transfer function can be obtained as:

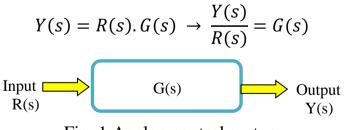


Fig. 1 Analog control system

If the input signal R(s) is sampled, it becomes  $R(s)^*$  or R(z). Also, If the output signal Y(s) is sampled, it becomes  $Y(s)^*$  or Y(z). Therefore, the plant G(s) is only defined at sampling instants only, this means it becomes sampled  $G(s)^*$  or G(z). The block diagram of such system is shown in Fig. (2).



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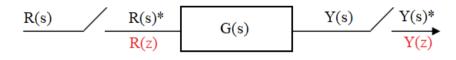


Fig. 2 Digital control system

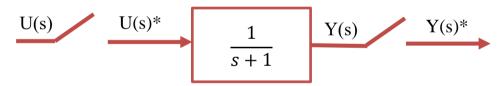
In that case the value of Y(z)/R(z) is called the transfer function of digital control system or Pulse Transfer Function as given below.

$$Y(z) = R(z).G(z) \rightarrow \frac{Y(z)}{R(z)} = G(z)$$

The pulse transfer function is defined as the ratio of the *z*-transform of the output of a linear Time Invariant (LTI) system to the *z*-transform of its input, when the initial conditions are zero.

# Example:

A unit step signal is applied to the following system. **Calculate** and **draw** the output response of the system, assuming a sampling period of T = 1 s.



For this system we can write:

$$Y(z) = G(z)u(z)$$

The z-transform of a unit-step function is

$$u(z)=\frac{z}{z-1}$$

the z-transform of G(s) is:

$$G(z) = \mathscr{Z}{G(s)} = \mathscr{Z}{\left\{\frac{1}{s+1}\right\}} = \frac{z}{z-e^{-T}}$$

Thus, the output is given by

$$Y(z) = u(z)G(z) = \frac{z}{z-1}\frac{z}{z-e^{-T}} = \frac{z^2}{(z-1)(z-e^{-T})}$$

Since T = 1 sec and  $e^{-1} = 0.368$ 

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$$Y(z) = \frac{z^2}{(z-1)(z-0.368)}$$

The output response can be obtained by finding the inverse z-transform of

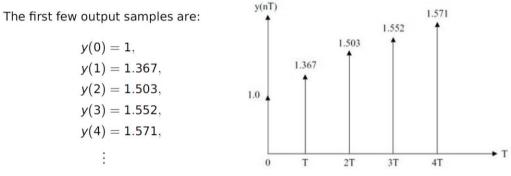
Y(z). Using partial fractions,

$$\frac{Y(z)}{z} = \frac{A}{z-1} + \frac{B}{z-0.368} = \frac{1.582}{z-1} - \frac{0.582}{z-0.368}$$

 $Y(z) = \frac{1.582z}{z-1} - \frac{0.582z}{z-0.368}$ 

From the z-transform tables we find

$$y(k) = 1.582 - 0.582 (0.368)^{k}$$



It is important to know, if there are samplers between blocks as shown in Fig. 3, then the pulse transfer function of the two blocks can be combined as:

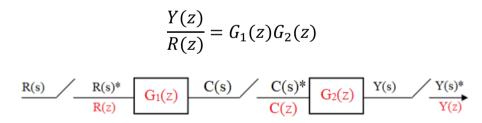


Fig. 3 Cascaded blocks in digital system

If the sampler between blocks is removed as shown in Fig. 4, then the pulse transfer function of the two blocks can be combined as:

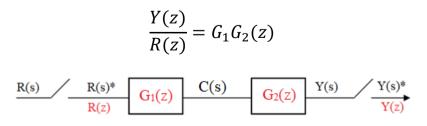


Fig. 4 Two blocks without sampler in between

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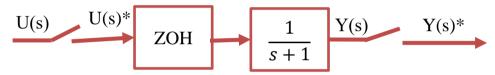
Both transfer functions are different.

 $G_1(z)G_2(z)\neq G_1G_2(z)$ 

Note that the presence of samplers complicates the *algebra* of block diagrams, since the existence and expression of any input-output function depend on the number and location of the samplers.

#### Example:

The system 1/(S+1) is preceded by a ZOH. What will the system output be if the applied input is a unit step, assuming a sampling period of T = 1 s.



The transfer function of the ZOH is:

$$G_{ZOH}(s) = G_1(s) = \frac{1 - e^{-Ts}}{s}$$

For this system, we can write:

$$Y(z) = G_{ZOH}G_2(z)u(z), \quad G_{ZOH}G_2(s) = \frac{1 - e^{-Ts}}{s} \frac{1}{s+1}$$

Using partial fractional expansion we can write

$$G_{ZOH}G_2(s) = (1-e^{-Ts})\left(rac{1}{s}-rac{1}{s+1}
ight)$$

From Z-transform tables:

$$G_{ZOH}G_2(z) = (1 - z^{-1})\left(\frac{z}{z - 1} - \frac{z}{z - e^{-1}}\right) = \frac{0.63}{z - 0.37}$$

Using partial fraction method, we can write

$$\frac{Y(z)}{z} = \frac{1}{z-1} - \frac{1}{z-0.37}$$
$$y(k) = 1 - (0.37)^{k}$$

### Example:

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Consider the cascaded blocks with

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$$H_1(s) = \frac{1}{S+2}$$
 and  $H_2(s) = \frac{2}{S+4}$ 

Calculate the equivalent pulse T.F in the following two cases:

a) Both blocks are connected directly,

b) Both blocks are separated by a sampler

In case (a), the equivalent pulse T.F is given as  $H_1H_2(Z)$ 

$$H(S) = H_1(s)H_2(s) = \frac{2}{(S+2)(S+4)} = \frac{1}{S+2} - \frac{1}{S+4}$$
$$h(t) = e^{-2t} - e^{-4t}$$
$$h(kT) = e^{-2kT} - e^{-4kT} \quad k = 0, 1, 2, 3, \dots$$

Taking Z Transform:

$$H(Z) = \frac{Z}{Z - e^{-2T}} - \frac{Z}{Z - e^{-4T}} = \frac{Z(e^{-2T} - e^{-4T})}{(Z - e^{-2T})(Z - e^{-4T})}$$

In case (b), the equivalent pulse T.F is given as  $H_1(Z) H_2(Z)$ 

$$H_{1}(s) = \frac{1}{S+2} \rightarrow h_{1}(t) = e^{-2t} \rightarrow H_{1}(Z) = \frac{Z}{Z - e^{-2T}}$$

$$H_{2}(s) = \frac{2}{S+4} \rightarrow h_{2}(t) = 2e^{-4t} \rightarrow H_{2}(Z) = \frac{2Z}{Z - e^{-4T}}$$

$$H(Z) = \frac{Z}{Z - e^{-2T}} \frac{2Z}{Z - e^{-4T}} = \frac{2Z^{2}}{(Z - e^{-2T})(Z - e^{-4T})}$$

Using partial fractions:

$$\frac{H(Z)}{Z} = \frac{2Z}{(Z - e^{-2T})(Z - e^{-4T})} = \frac{A}{(Z - e^{-2T})} + \frac{B}{(Z - e^{-4T})}$$
$$2Z = A(Z - e^{-4T}) + B(Z - e^{-2T})$$
At  $Z = e^{-2T} \rightarrow 2e^{-2T} = A(e^{-2T} - e^{-4T}) \rightarrow A = 2e^{-2T} / (e^{-2T} - e^{-4T})$ At  $Z = e^{-4T} \rightarrow 2e^{-4T} = B(e^{-4T} - e^{-2T}) \rightarrow B = 2e^{-4T} / (e^{-4T} - e^{-2T})$ 
$$\frac{H(Z)}{Z} = \frac{2e^{-2T}}{(e^{-2T} - e^{-4T})(Z - e^{-2T})} + \frac{2e^{-4T}}{(e^{-4T} - e^{-2T})(Z - e^{-4T})}$$

$$H(z) = \frac{2}{e^{-2T} - e^{-4T}} \left[ \frac{e^{-2T}z}{z - e^{-2T}} - \frac{e^{-4T}z}{z - e^{-4T}} \right]$$

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Taking inverse Z transform

$$h(kT) = \frac{2}{e^{-2T} - e^{-4T}} \left[ e^{-2T} e^{-2kT} - e^{-4T} e^{-4kT} \right]$$
$$= \frac{2}{e^{-2T} - e^{-4T}} \left[ e^{-2(k+1)T} - e^{-4(k+1)T} \right], \quad k = 0, 1, 2, \dots$$

The above example clearly shows the effect of placing a sampler between analog blocks on the pulse transfer function.

Consider the control system shown in Fig. 5, the relation between input and output is given as:

$$Y(s) = R(s).G(s)$$
$$Y(s)^* = (RG(s))^* \to Y(z) = RG(z)$$
$$\underbrace{R(s)}_{G(s)} \underbrace{Y(s)}_{Y(z)} \underbrace{Y(s)^*}_{Y(z)}$$

Fig. 5 open-loop system with one sampler

Therefore, the pulse T.F. is not defined, but we can calculate the system response only.

### 2. Pulse Transfer Function for Closed-Loop Systems

Consider the closed-loop system with samplers indicated as shown in Fig. 6

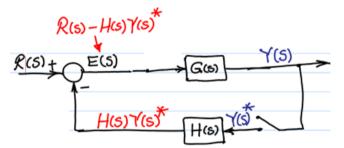


Fig. 6, closed-loop system with sampler at feedback

To obtain the pulse T.F, we assume the sampler output is  $Y(s)^*$ 

$$Y(s) = G(s)E(s)=G(s)[R(s) - H(s)Y(s)^*]$$

 $E(s) = R(s) - H(s)Y(s)^*$ 

$$Y(s) = G(s) R(s) - G(s)H(s)Y(s)^*$$

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$$Y(s) = G(s) R(s) - G(s)H(s)Y(s)^*$$
$$Y(s)^* = RG(s)^* - GH(s)^*Y(s)^*$$
$$Y(z) = RG(z) - GH(z)Y(z)$$
$$Y(z)[1 + GH(z)] = RG(z)$$
$$Y(z) = \frac{RG(z)}{1 + GH(z)}$$

It is clear that we can obtain the system response Y(z) but we can NOT obtain the pulse transfer function.

To solve this problem, a sampler must be added before the summing point as shown in Fig. 7.

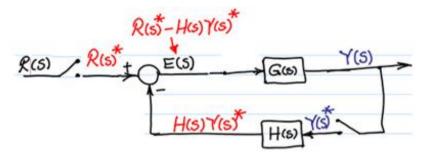


Fig. 7 closed-loop system with sampler at feedback and sampler at input In that case the pulse T.F. is

$$\frac{Y(z)}{R(z)} = \frac{G(z)}{1 + GH(z)}$$

Consider the discrete control system with two samplers shown in Fig. 8

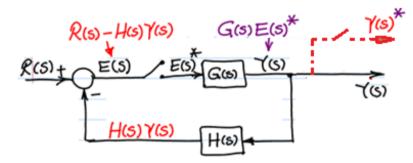


Fig. 8, closed-loop system with sampler at error signal

To obtain the pulse T.F, we assume the sampler output is  $E(s)^*$ 

 $\mathbf{E}(\mathbf{s}) = \mathbf{R}(\mathbf{s}) - \mathbf{H}(\mathbf{s})\mathbf{Y}(\mathbf{s})$ 

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$$E(s) = R(s) - H(s)G(s)E(s)^{*}$$
$$E(s)^{*} = R(s)^{*} - GH(s)^{*} E(s)^{*}$$
$$E(s)^{*}[1+GH(s)^{*}] = R(s)^{*}$$
$$E(s)^{*} = \frac{R(s)^{*}}{1+GH(s)^{*}}$$

As the sampled error signal  $E(s)^*$  multiplied by  $G(s)^*$  this gives  $Y(s)^*$ [ $Y(s)^*=G(s)^*E(s)^*$ ] (dashed line)

$$Y(s)^{*} = \frac{G(s)^{*}R(s)^{*}}{1 + GH(s)^{*}}$$

The pulse T.F. is given by:

$$\frac{Y(s)^{*}}{R(s)^{*}} = \frac{G(s)^{*}}{1 + GH(s)^{*}}$$
$$\frac{Y(z)}{R(z)} = \frac{G(z)}{1 + GH(z)}$$

We can obtain the same pulse T.F with the configuration shown in Fig. 9

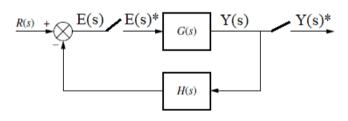
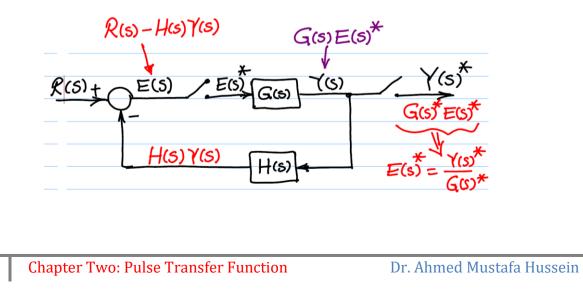


Fig. 9 closed-loop system with two samplers

To obtain the pulse T.F, we follow the steps:





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Introduce a variable, with *name* E(s), at the input of the first sampler and a variable, with *name*  $E(s)^*$ , at the output of that sampler. Moreover, introduce a variable, with *name* Y(s), at the input of the second sampler and a variable, with *name*  $Y(s)^*$ , at the output of that sampler.

$$\mathbf{E}(\mathbf{s}) = \mathbf{R}(\mathbf{s}) - \mathbf{H}(\mathbf{s})\mathbf{Y}(\mathbf{s})$$

But we know that Y(s)=E(s)\*G(s), by substituting by this value in above equation;

$$E(s) = R(s) - H(s) G(s) E(s)^*$$
$$E(s)^* = R(s)^* - HG(s)^* E(s)^*$$
$$E(s)^*[1 + HG(s)^*] = R(s)^*$$
$$E(s)^* = \frac{R(s)^*}{1 + GH(s)^*}$$

Replace  $E(s)^*$  by  $Y(s)^*/G(s)^*$ 

$$\frac{Y(s)^{*}}{G(s)^{*}} = \frac{R(s)^{*}}{1 + GH(s)^{*}}$$

The pulse T.F. is given by:

$$\frac{Y(s)^{*}}{R(s)^{*}} = \frac{G(s)^{*}}{1 + GH(s)^{*}}$$
$$\frac{Y(z)}{R(z)} = \frac{G(z)}{1 + GH(z)}$$

Consider the closed-loop system with samplers indicated as shown in Fig. 10

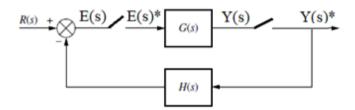
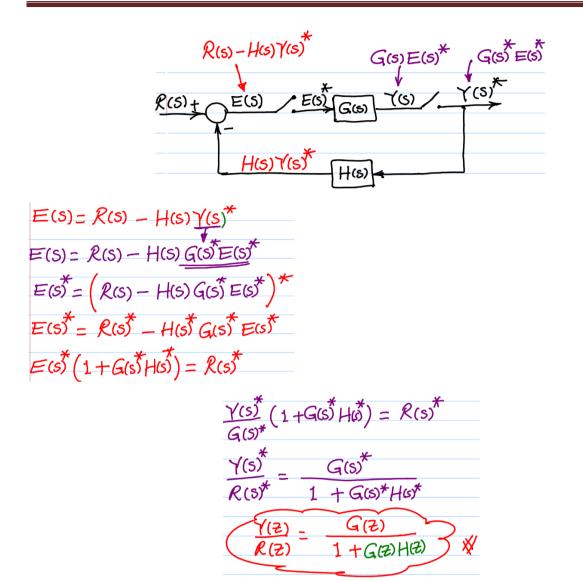


Fig. 10 closed-loop system

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We can obtain the same pulse T.F with the configuration shown in Fig. 11

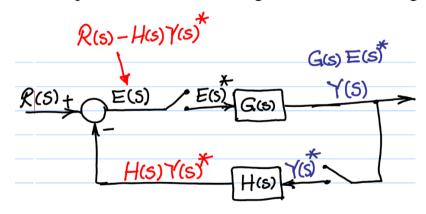


Fig. 11 Closed-loop discrete system

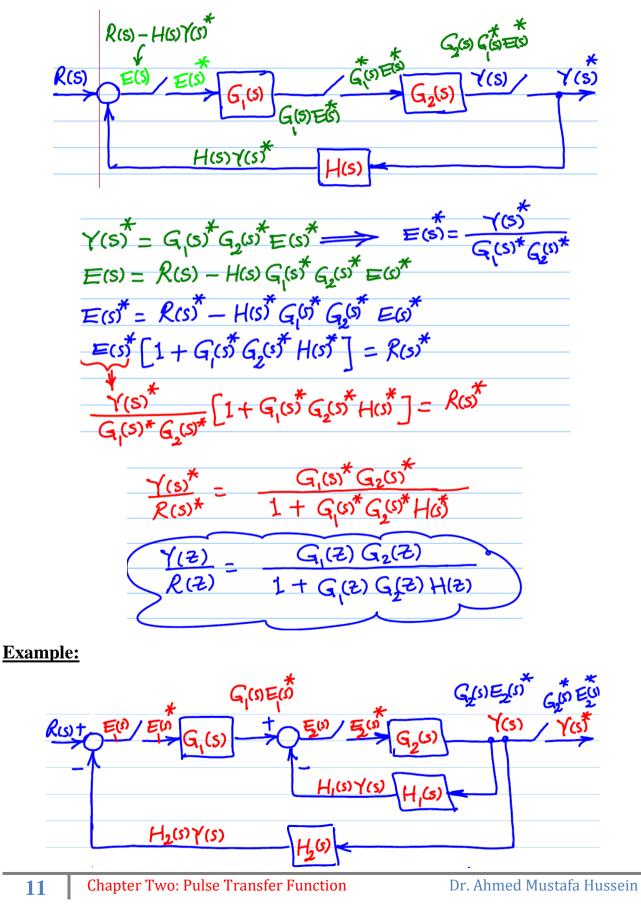
$$\frac{Y(z)}{R(z)} = \frac{G(z)}{1 + G(z)H(z)}$$

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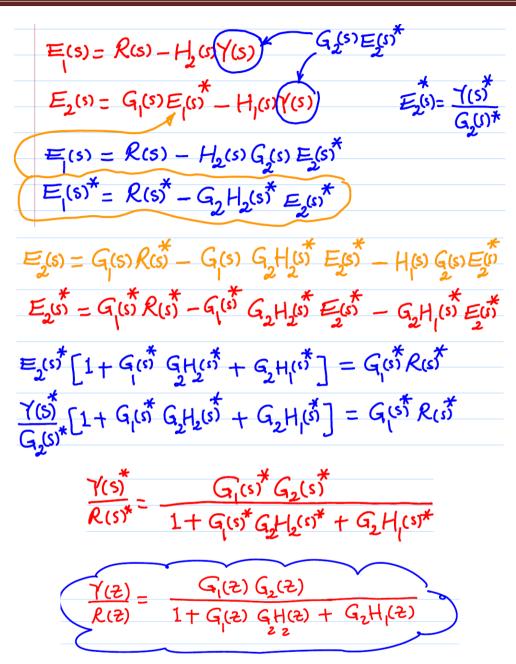
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### Example:



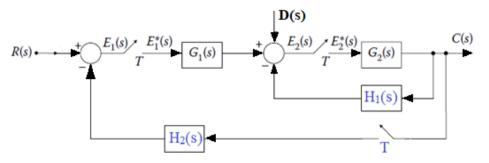


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### Example:

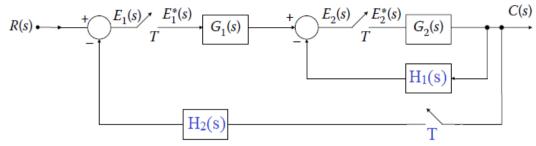
For MISO Linear Time Invariant Digital (LTID) control system shown below, calculate the pulse transfer function.





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First, we assume that D(s) = 0;



forward paths:  $P_1 = R(z) G_1(z) G_2(z)$ 

Individual loops:

$$\begin{split} L_{1} &= -G_{2} \ H_{1} \ (z) \\ L_{2} &= - \ G_{1}(z) \ G_{2}(z) \ H_{2} \ (z) \end{split}$$

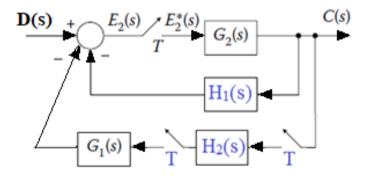
The system response is:

$$Y(z) = \frac{P_1}{1 - (L_1 + L_2)}$$
$$Y(z) = \frac{R(z)G_1(z)G_2(z)}{1 + G_2H_1(z) + G_1(z)G_2(z)H_2(z)}$$

The pulse T.F. is:

$$\frac{Y(z)}{R(z)} = \frac{G_1(z)G_2(z)}{1 + G_2H_1(z) + G_1(z)G_2(z)H_2(z)}$$

Second, we assume that R(s) = 0;



forward paths:  $P_1 = D(z) G_2(z)$ 

Individual loops:

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$$\begin{split} & L_1 = -G_2 \; H_1 \; (z) \\ & L_2 = - \; G_1(z) \; G_2(z) \; H_2 \; (z) \end{split}$$

The system response is:

$$Y(z) = \frac{P_1}{1 - (L_1 + L_2)}$$
$$Y(z) = \frac{D(z)G_2(z)}{1 + G_2H_1(z) + G_1(z)G_2(z)H_2(z)}$$

The pulse T.F. is:

$$\frac{Y(z)}{D(z)} = \frac{G_2(z)}{1 + G_2H_1(z) + G_1(z)G_2(z)H_2(z)}$$
$$Y(z) = \frac{G_1(z)G_2(z)R(z)}{1 + G_2H_1(z) + G_1(z)G_2(z)H_2(z)} + \frac{G_2(z)D(z)}{1 + G_2H_1(z) + G_1(z)G_2(z)H_2(z)}$$
$$Y(z) = \frac{G_2(z)\{G_1(z)R(z) + D(z)\}}{1 + G_2H_1(z) + G_1(z)G_2(z)H_2(z)}$$

### Example:

Obtain the pulse T.F from the following difference equation:

y(k) - 0.5y(k - 1) = 2x(k)

Taking Z-transform for both sides:

$$Y(z) - 0.5Z^{-1}Y(z) = 2X(z)$$
$$Y(z)(1 - 0.5Z^{-1}) = 2X(z)$$
$$\frac{Y(z)}{X(z)} = \frac{2}{1 - 0.5Z^{-1}} = \frac{2Z}{Z - 0.5}$$

#### **Example:**

Obtain the pulse T.F from the following difference equation:

$$y(k) + 2y(k-1) - y(k-2) = 2x(k) - x(k-1) + 2x(k-2)$$

Taking Z-transform for both sides:

$$Y(z) + 2Z^{-1}Y(z) - Z^{-2}Y(z) = 2X(z) - Z^{-1}X(z) + 2Z^{-2}X(z)$$
$$Y(z)(1 + 2Z^{-1} - Z^{-2}) = X(z)(2 - Z^{-1} + 2Z^{-2})$$



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$$\frac{Y(z)}{X(z)} = \frac{2 - Z^{-1} + 2Z^{-2}}{1 + 2Z^{-1} - Z^{-2}} = \frac{2Z^2 - Z + 2}{Z^2 + 2Z - 1}$$

### 3. Matlab Command

The Matlab command to obtain the pulse T.F. from analog T.F. is:

>> g = tf(num; den); % continuous TF >> gd = c2d(g, T, 'zoh') % digital TF using zero order hold and sampling time T

## **3.** Characteristic Equation

Characteristics equation plays an important role in the study of linear systems. As said earlier, an n<sup>th</sup> order LTI discrete data system can be represented by an n<sup>th</sup> order difference equation,

$$\begin{split} c(k+n) + a_{n-1}c(k+n-1) + a_{n-2}c(k+n-2) + ... + a_1c(k+1) + a_0c(k) = b_mr(k+m) + \\ b_{m-1}r(k+m-1) + ... + b_0r(k) \end{split}$$

where r(k) and c(k) denote input and output sequences respectively. The input output relation can be obtained by taking Z-transformation on both sides, with zero initial conditions, as

$$\frac{C(z)}{R(z)} = \frac{b_m z^m + b_{m-1} z^{m-1} + \dots + b_0}{z^n + b_{n-1} z^{n-1} + \dots + a_1 z + a_0}$$

The characteristics equation is obtained by equating the denominator of the pulse transfer function to 0, as

$$z^n + b_{n-1}z^{n-1} + \dots + a_1z + a_0 = 0$$

In a causal system, the output does not precede the input. In other words, in a causal system, the output depends only on the past and present inputs, not on the future ones.
The transfer function of a causal system is physically realizable, i.e., the system can be realized by using physical elements.

• For a causal discrete data system, the power series expansion of its transfer function must not contain any positive power in z. Positive power in z indicates prediction. Therefore, in the transfer function (given above), *n* must be greater than or equal to *m*.  $m = n \Rightarrow$  proper transfer function  $m < n \Rightarrow$  strictly proper Transfer Function



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